

Impact and the REF

Vicky Jones

Policy Adviser, Research team

Understanding Society Conference workshop 21 July 2015



HEFCE Quality related research funding

For AY 2015-16 HEFCE will distribute £1.6 billion QR research funding.

Un-hypothecated funding allocated alongside grants for specific research projects and programmes provided by other funders.

- Drive innovation and respond flexibly to change
- Invest in new and emerging areas
- Grow and support new talent

Stream of funding	£(m)
Mainstream QR (incl London weighting)	1,050
RDP supervision fund	240
Charity support	198
Business research	64
National Research Libraries	7



Purpose of the REF

- The REF is a process of expert review
- It replaced the RAE as the UK-wide framework for assessing research in all disciplines
- Its purpose is:
 - To inform research funding allocations by the four UK HE funding bodies (approximately £2 billion per year)
 - Provide accountability for public funding of research and demonstrate its benefits
 - To provide benchmarks and reputational yardsticks



What was assessed



Outputs by early career researchers and staff with other circumstances were found to be of equally high quality to other staff



Profile for outputs by staff with circumstances

The definition of impact is broad

"For the purposes of the REF, impact is defined as an effect on, change or benefit to the economy, society, culture, public policy or services, health, the environment or quality of life, beyond academia"

For the first time, REF has demonstrated the impact of UK research in all subjects

- Over 250 research users judged the impacts, jointly with academic panel members.
- 44% of impacts were judged outstanding (4*). A further 40% were judged very considerable (3*).
- Impressive impacts were found from research in all subjects.
- REF shows many ways in which research has fuelled economic prosperity, influenced public policy and services, enhanced communities and civic society, enriched cultural life, improved health and wellbeing, and tackled environmental challenges.



Impressive impact was found across institutions with submissions of all sizes



What have we learned?

Evaluation of process

- Preparing for impact has provided benefits and strategic insight to Universities
- The assessment of impact worked well, but there are areas for improvement

Analysis of case studies

- Considerable and diverse impacts were submitted for assessment
- Impact derives from the integration of disciplinary knowledge

There was evidence that assessment of impact as part of REF 2014 along with other policies (such as RCUK's 'Pathways to impact') and the broader 'impact agenda' has led to cultural change within HEIs

'I noticed my perception of research changing slightly and my passion to make an impact with my research enhanced; this was due to constant in-depth thinking about what we (and I) do in the unit and why we do it. I can say that I became totally immersed in the topic of impact and became fascinated by the area'

Impact case studies (REF3b)			Impact templates (REF3a)				
Types of benefit	% of total ideas about benefits (n=2338)	% of total respondents to survey (n=962)	Number of institutions (n=21)	Types of benefit	% of total ideas about benefits (n=635)	% of total respondents to survey (n=259)	Number of institutions (n=21)
Identifying and understanding impact	25%	48%	21	Identifying and understanding impact	29%	66%	20
Promotion or recognition	17%	33%	21	Thinking about strategy	27%	66%	20
Review and affirm relationships	9%	22%	21	Promotion and recognition	8%	20%	19

Feedback from participating HEIs

"It is fair to say that over the period of preparation, the status and visibility of research activity; its relevance to the everyday work of staff and students; and its visibility to the [institution's] large communities of members of the public and other academic and business collaborators, has been considerably invigorated and enhanced."

"The most positive aspect of preparing for REF 2014 was to illuminate the vast array of research impact which [the university] may not otherwise have realised it had."

"In short, we know more about ourselves as a consequence of making a REF submission."

As a result of the impact agenda and changing culture, HEIs are changing their practice

'REF3A is informing the [impact] strategies that are currently being written'



Setting out an impact strategy



Fixed-term posts becoming permanent



Implementing systems to store evidence of impact

Capturing evidence of impact on an ongoing basis



Building a plan for impact into projects



Inclusion of impact as a criterion for promotion

What have we learned?

Evaluation of process

- Preparing for impact has provided benefits and strategic insight to Universities
- The assessment of impact worked well, but there are areas for improvement

Analysis of case studies

- Considerable and diverse impacts were submitted for assessment
- Impact derives from the integration of disciplinary knowledge

There is a diverse range of impact pathways







Search REF Impact Case Studies

Browse the index below or search all Case Studies using keywords [e.g. "NHS"].



API

Browse the index

Submitting Institution	Unit of Assessment	Summary Impact Type	Research Subject Area	Impact UK Location	Impact Global Location	
------------------------	--------------------	---------------------	-----------------------	--------------------	------------------------	--

(1972)

(141)

Unit of Assessment_o

Type Unit of Assessment

Main Panel C

Main Panel A	(1596)
<u>1 - Clinical Medicine</u>	(385)
2 - Public Health, Health Services and Primary Care	(163)
3 - Allied Health Professions, Dentistry, Nursing and Pharmacy	(347)
4 - Psychology, Psychiatry and Neuroscience	(318)
<u>5 - Biological Sciences</u>	(257)
6 - Agriculture, Veterinary and Food Science	(126)

Main Panel B	(1484)
7 - Earth Systems and Environmental Sciences	(171)
<u>8 - Chemistry</u>	(125)
<u>9 - Physics</u>	(182)
10 - Mathematical Sciences	(209)
11 - Computer Science and Informatics	(253)
12 - Aeronautical, Mechanical, Chemical and Manufacturing	(124)
Engineering	
13 - Electrical and Electronic Engineering. Metallurgy and	(127)
Materials	
14 - Civil and Construction Engineering	(51)
15 - General Engineering	(242)
Main Panel D	(1627)
27 - Area Studies	(69)

15

16 - Architecture, Built Environment and Planning

Case studies based on longitudinal research

- University of Southampton impact based on the Hertfordshire Cohort Study and Southampton Women's Survey – paradigm shift in the medical approach to obesity and non-communicable diseases.
- University of Newcastle using the Millennium Cohort Study changing policy and practice for the language delayed child
- Birkbeck college Effective Provision of Pre-school Education project – Government decision to extend free early years provision for disadvantaged children



Thank you for listening

Researchpolicy@hefce.ac.uk

